



sector 4

VPI/95-09 DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Not yet assigned  
Group Art Unit : 1643  
Applicants : David M. Armistead et al.  
Serial No. : 09/431,469  
Filed : November 1, 1999  
For : MOLECULES COMPRISING A CALCINEURIN-LIKE  
BINDING POCKET AND ENCODED DATA STORAGE  
MEDIUM CAPABLE OF GRAPHICALLY DISPLAYING  
THEM

New York, New York  
March 2, 2000

Hon. Assistant Commissioner  
for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith: [X] a Response to Notice To  
File Missing Parts; [X] Petition for Extension of Time (in  
duplicate); [X] Request of Small Entity Status; [X] Verified  
Statement of Small Entity Status; to be filed in the above-  
identified patent application.

FEE FOR ADDITIONAL CLAIMS

[X] A fee for additional claims is not required.

[ ] A fee for additional claims is required.

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	-	* = 0	X \$11 =	\$0
INDEPENDENT CLAIMS	-	** = 0	X \$41 =	\$0
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM			+ \$135 =	\$0

\* If less than 20, insert 20.

\*\* If less than 3, insert 3.

TOTAL \$       

[ ] A check in the amount of \$\_\_\_\_\_ in payment of the filing fee is transmitted herewith.

[X] The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. \$ 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[ ] Please charge \$\_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

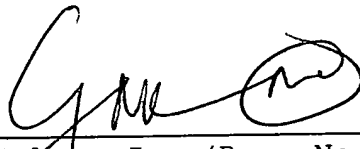
EXTENSION FEE

☒ The following extension is applicable to the Response filed herewith; ☒ \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); ☐ \$380.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); ☐ \$870.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1,360.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); \$1,850.00 within fifth month pursuant to 37 C.F.R. § 1.136(a).

☒ A check in the amount of ☒ \$110.00; ☐ \$380.00; ☐ \$870.00; ☐ \$1,360.00; ☐ \$1,850.00 in payment of the extension fee is transmitted herewith.

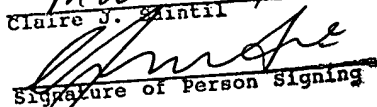
☒ The Commissioner is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

☐ Please charge the ☐ \$110.00; ☐ \$380.00; ☐ \$870.00; ☐ \$1,360.00; ☐ \$1,850.00; extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.



James F. Haley, Jr. (Reg. No. 27,794)  
Attorney for Applicants  
Nandakumar Govindaswamy  
(Limited Recognition)  
Agent for Applicants  
c/o FISH & NEAVE  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (212) 596-9000

I Hereby Certify that this  
Correspondence is being  
Deposited with the U.S.  
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Addressed to: ASSISTANT  
COMMISSIONER FOR  
PATENTS  
WASHINGTON, D.C. 20231 on

March 2, 2000  
Claire J. Mintil  
  
Signature of Person Signing



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New York, New York  
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Hon. Assistant Commissioner  
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Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION

Sir:

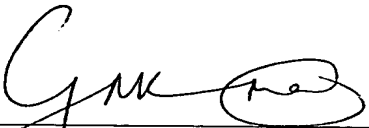
In response to the December 6, 1999 Notice To  
File Missing Parts of Application in the above-identified  
application, applicants enclose the following:

- 1) a copy of the Notice To File Missing Parts; and
- 2) a check in the amount of \$1516.00.



The Commissioner is hereby authorized to charge

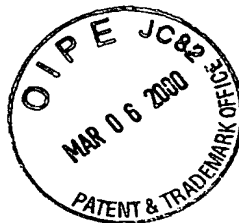
any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1075. A duplicate copy of this Response is enclosed herewith.

Respectfully submitted,

  
James F. Haley, Jr. (Reg. No. 27,794)  
Attorney for Applicants  
Nandakumar Govindaswamy  
(Limited Recognition)  
Agent for Applicants  
c/o FISH & NEAVE  
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New York, New York  
March 2, 2000

Hon. Assistant Commissioner  
for Patents  
Washington, D.C. 20231

REQUEST UNDER 37 C.F.R. § 1.27  
FOR SMALL ENTITY STATUS AND REQUEST  
UNDER 37 C.F.R. § 1.28(a) FOR REFUND

Sir:

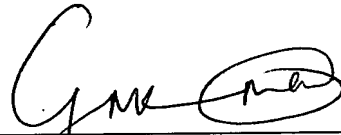
Pursuant to 37 C.F.R. § 1.27, applicant hereby requests that small entity status be established in the above-identified patent application. A verified statement to establish small entity status under 37 C.F.R. §§ 1.9(f) and 1.27(c) is concurrently filed herewith.

Applicant has paid the full filing fee of \$760.00 and additional claim fees of \$626 as other than a small entity, and the surcharge of \$130.00. Pursuant to 37 C.F.R. § 1.28(a), applicant hereby requests a refund of one-half of

the filing fee and additional claims fees paid as other than a small entity. Applicant believes that the amount of the refund will be \$693.00. Please credit this refund to Deposit Account No. 06-1075.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 06-1075. A duplicate copy of this Request is enclosed herewith.

Respectfully submitted,



James F. Haley, Jr. (Reg. No. 27,794)  
Attorney for Applicants  
Nandakumar Govindaswamy  
(Limited Recognition)  
Agent for Applicants  
c/o FISH & NEAVE  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (212) 596-9000

I Heroby Certify that this  
Correspondence is being  
Deposited with the U.S.  
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Addressed to: ASSISTANT  
COMMISSIONER FOR  
PATENTS  
WASHINGTON, D.C. 20231 on

*March 2, 2000*  
Claire U. Saintil

*[Signature]*  
Signature of Person Signing



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

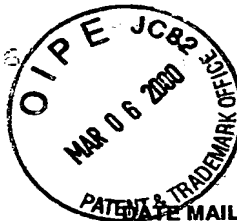
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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00/431,469 11/01/99 ARMISTEAD 0 VPI/95-09-D2

JAMES F. HALEY JR  
FISH & NEAVE  
1251 AVENUE OF THE AMERICAS  
NEW YORK NY 10020-1104

0222/1206



NOT ASSIGNED

1543

12/06/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 1516.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 54 for 3 total claims over 20.  
\$ 312 for 4 independent claims over 3.  
\$ 760 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

E. Burre  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

03/09/2000 MSHIFERA 00000014 09431469

01 FC:101	760.00 OP
02 FC:102	312.00 OP
03 FC:103	54.00 OP
04 FC:104	260.00 OP

05 FC:105 130.00 OP